

OPTION SIPP AND FULL SIPP TRANSFER OUT FORM

Please complete this form in BLOCK CAPITALS and black ink and return it to: Embark Pensions, Dunscar House, Deakins Business Park, Egerton, Bolton BL7 9RP. If you need assistance in completing this form, please call our Customer Services team on 01204 803500.

Member Ref (Office use only):

Beware of Pension Scams

Falling foul of a scam could mean you lose some or all of your money. See <u>pension-scams.com</u> or <u>fca.org.uk/</u> <u>scamsmart</u> or visit our website at <u>embarkpensions.co.uk</u> for more information.

Covid-19

Regrettably in times like this, scams and frauds appear which are often both sophisticated and seek to exploit you. Watch out for scams related to the Coronavirus (Covid-19). These scams take many forms and could be about pension transfers and / or high-return investment opportunities. They may also involve claims to release your pension monies to pay the bills that have been created by Covid-19.

Beware of investments / opportunities that appear to be too good to be true – they usually are, and you could lose all your money. If your pension transfer request has come from one of the following please inform us immediately:

- an offer out of the blue i.e. you have had no previous contact with this person or organisation;
- originally came from an advert on social media or a paid for / sponsored advert online;

1 TO BE COMPLETED BY YOU

Name:			
Address:			
Postcode:			
National Insurance Number:	Account Number:		
Have you received independent financial advice to transfer you	r pension?	Yes	No

If **yes**, please provide details of your Financial Adviser in part 2.

- originally from an email from a sender you do not already know;
- you feel pressured or hurried into making this decision to transfer;
- a firm cold called you; or
- you have given personal details out over email or in a phone call without first verifying who the caller was or who sent the email.

Further information can be found on: <u>www.fca.org.uk/</u> consumers/coronavirus-covid-19.

Pension Wise

You are also entitled to free, impartial advice on your options from the Government Service Pension Wise. Pension Wise provides assistance and details of the options available to you in respect of your pension savings. You can access this online at <u>www.pensionwise.gov.uk</u>, over the telephone on **0800 138 3944**, or face to face through the Citizens Advice Bureau.

Pension Wise should not be seen as a substitute for full regulated advice.

1 TO BE COMPLETED BY YOU (CONTINUED)		
Transitional or Fixed Protection We recommend that you seek independent financial advice	e before completing this section.	
Have you registered for enhanced, primary or fixed protec	tion with HMRC?	Yes
If yes , please send us a copy of the HMRC certificate.		
Do you have a protected pension age (i.e. you are entitled	to take benefits before age 55)?	Yes No
If yes, what is the protected pension age:		
Member Declaration		
Type of transfer:	Partial – please confirm am	ount:
If this is a full transfer please wind up the above plan and t that your compliance with this request shall be a full disch Pensions, and Embark Pensions Trustees Limited in respec	arge of the liability of EBS Pensions Li	
Please encash all investments and transfer out in cash.		
Please transfer selected investments in specie; any cash or to the Receiving Scheme.	n the Metro Bank account(s) will also b	e transferred
Signature (member): 😥		
Date: D D M M Y	Υ	
Signature (Authorised Signatory, Embark Pensions): 🔗		
Signature (Authorised Signatory, Embark Pensions):		
We're always looking to improve our service therefore plea	ase let us know the reason for your tra	ansfer out.
Change of Financial Adviser	Investment Choice	
Service	Fees	
Other		
If other, please provide details.		
Any additional comments you would like to add?		

Companies House Number:

Please complete this form if you are a Financial Adviser and you have advised the named applicant on transferring their pension scheme from EBS Pensions Limited, trading as Embark Pensions.

Title:			
Forename(s):			
Surname:			
Address:			
Postcode:			
Name of Firm:	FCA Registration Number:		
I confirm in respect of the enclosed transfer from the Embark Pe	ensions scheme that:		
I am appropriately qualified and approved by the above firm to provide financial advice.			
I have provided the above named applicant with advice in respect of this transfer.			No
I have recommended the applicant proceed with this transfer.		Yes	No
The client will be investing in standard assets with the new pension provider as defined by the FCA standard asset criteria (IPRUINV 5.9.1R).			No
I have completed due diligence on the receiving scheme.			No
No unregulated entities are involved in the recommendation or facilitation of this transfer.			No
Signature (member):			
Date: D D M M Y Y			
3 TO BE COMPLETED BY RECEIVING SCHEME			
Receiving Scheme/Insurer/Policy No:			
Address/Postcode of Scheme/Provider:			
Is the Scheme a Registered Pension Scheme under Chapter II Par	t IV of the Finance Act 2004?	Yes	No
If no , the transfer cannot go ahead unless an annuity is being pu	ırchased.		
HM Revenue & Customs Reference Number:			
Contracting Out reference (i.e. ASCON/SCON/ECON/ASCN):			

Please provide a copy of your HMRC PSTR Certificate, and a dated print out of your HMRC registration details.

FCA Registration Number:

Please tick the appropriate box describing the type of Receiving Scheme:

Fully invested in insurance policies with the provider named above	Defined Benefit Scheme	
Small Self Administered Scheme (SSAS)	Annuity Provider	
Self-Invested Personal Pension (SIPP)	Qualifying Recognised Overseas Pension Scheme	

Payment Instructions

Payee:	
By BACS (subject to a charge):	By immediate transfer e.g. CHAPS (subject to a charge):
Bank:	
Address:	
Postcode:	
Account Number:	Sort Code:
Account Name:	Ref:

Declaration by Receiving Scheme

I confirm that the above information is correct and agree to the transfer of benefits. I authorise HM Revenue & Customs (HMRC) to confirm, or otherwise, to Embark Pensions that the Receiving Scheme is a Registered Scheme.

I have included a copy of the HMRC PSTR Certificate, and a dated print out of the HMRC registration details for the receiving scheme.

Signature: 😥	
Print Name:	
Position:	
Date:	



01204 803500



Dunscar House, Deakins Business Park, Egerton, Bolton BL7 9RP



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embarkpensions.co.uk

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