

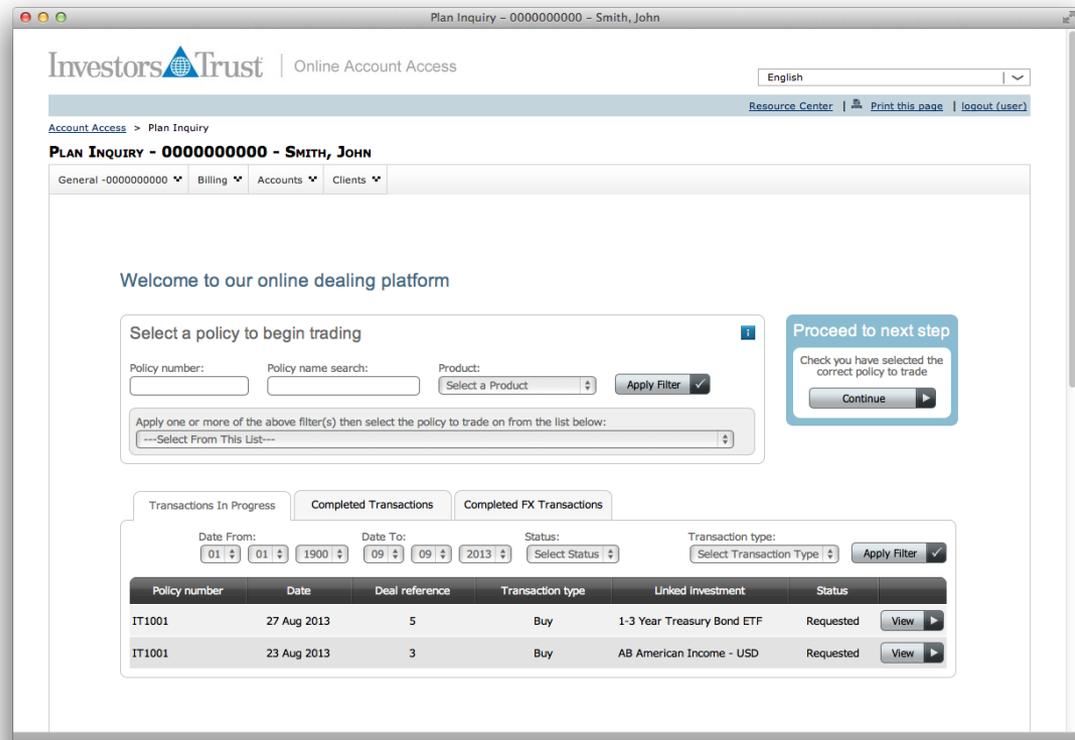
INVESTORS TRUST

# Access Portfolio

Trading Guide for Introducers

## Online Access

Full online access to the portfolio with the ability to request sales and purchases.



The screenshot shows a web browser window titled "Plan Inquiry - 0000000000 - Smith, John". The page header includes the Investors Trust logo and "Online Account Access". A language dropdown is set to "English". Navigation links include "Resource Center", "Print this page", and "logout (user)". The breadcrumb trail is "Account Access > Plan Inquiry". The main heading is "PLAN INQUIRY - 0000000000 - SMITH, JOHN". Below this are tabs for "General - 0000000000", "Billing", "Accounts", and "Clients".

The main content area features a "Welcome to our online dealing platform" message. A "Select a policy to begin trading" section contains input fields for "Policy number:", "Policy name search:", and "Product:" (with a "Select a Product" dropdown), followed by an "Apply Filter" button. Below this is a message: "Apply one or more of the above filter(s) then select the policy to trade on from the list below:" and a dropdown menu showing "---Select From This List---".

To the right is a "Proceed to next step" box with the text "Check you have selected the correct policy to trade" and a "Continue" button.

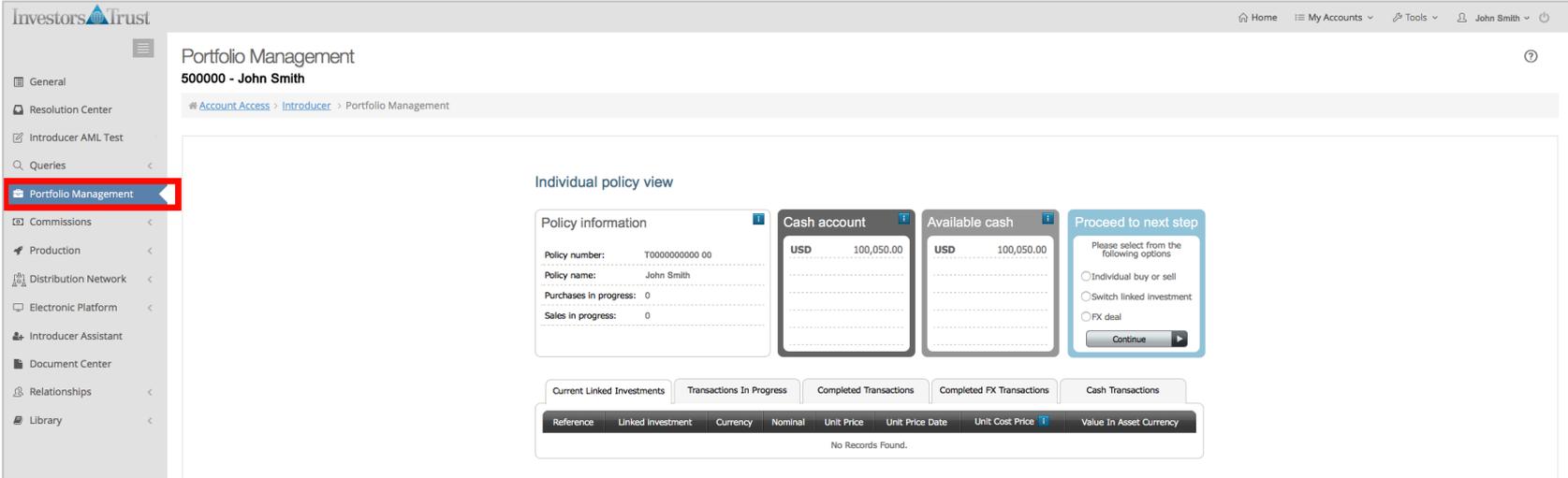
Below these are tabs for "Transactions In Progress", "Completed Transactions", and "Completed FX Transactions". The "Completed Transactions" tab is active, showing a table with filters for "Date From:", "Date To:", "Status:", and "Transaction type:". The filters are set to "01/01/1900" to "09/09/2013" and "Select Status". The table has columns for "Policy number", "Date", "Deal reference", "Transaction type", "Linked Investment", and "Status".

Policy number	Date	Deal reference	Transaction type	Linked Investment	Status	
IT1001	27 Aug 2013	5	Buy	1-3 Year Treasury Bond ETF	Requested	<a href="#">View</a>
IT1001	23 Aug 2013	3	Buy	AB American Income - USD	Requested	<a href="#">View</a>

## Online Access for Introdurers

The access to the **Portfolio Management** tool is located under the **Search** menu in the Introdurer Inquiry page. This option will direct you to the Online Dealing platform.

To view all plan information, use the standard Plan Search option to go to the full Plan Inquiry page.

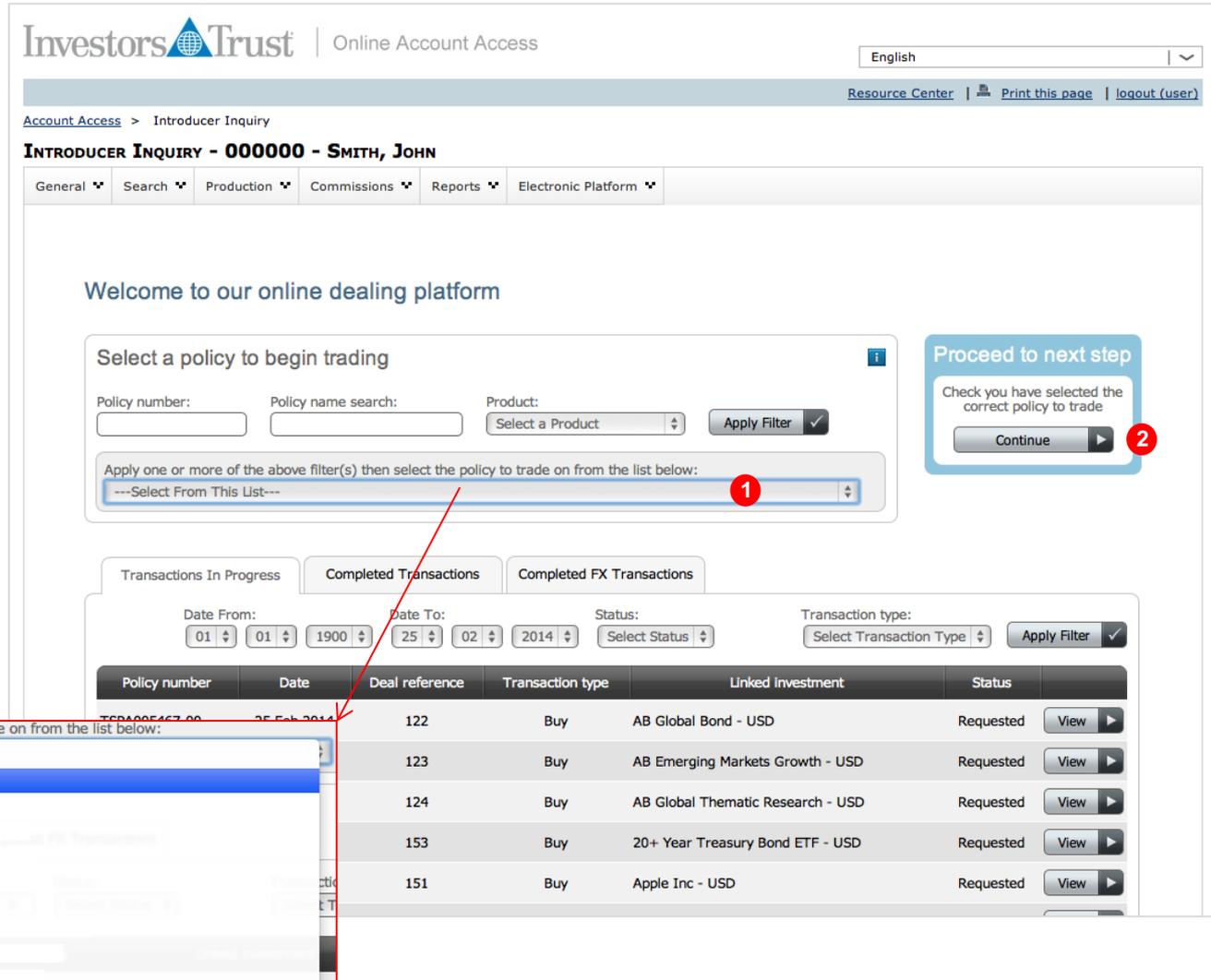


The screenshot shows the Investors Trust web application interface. The top navigation bar includes 'Home', 'My Accounts', 'Tools', and 'John Smith'. The left sidebar contains a menu with 'Portfolio Management' highlighted in red. The main content area is titled 'Portfolio Management' and '500000 - John Smith'. Below this, there is a breadcrumb trail: '# Account Access > Introdurer > Portfolio Management'. The central section is titled 'Individual policy view' and contains four panels: 'Policy information', 'Cash account', 'Available cash', and 'Proceed to next step'. The 'Policy information' panel shows 'Policy number: T0000000000 00' and 'Policy names: John Smith'. The 'Cash account' and 'Available cash' panels both show 'USD 100,050.00'. The 'Proceed to next step' panel has three radio button options: 'Individual buy or sell', 'Switch linked investment', and 'FX deal', with a 'Continue' button. Below these panels are tabs for 'Current Linked Investments', 'Transactions In Progress', 'Completed Transactions', 'Completed FX Transactions', and 'Cash Transactions'. A table header is visible with columns: 'Reference', 'Linked Investment', 'Currency', 'Nominal', 'Unit Price', 'Unit Price Date', 'Unit Cost Price', and 'Value In Asset Currency'. The table content shows 'No Records Found.'

## Online Access for Introducers

Once in the Online Dealing platform, you will see a list of the Access Portfolio plans of your clients and all information regarding completed and pending transactions. Results can be filtered by Policy Number, Name or Product.

You may select a specific Policy from the list **1**, and click **Continue** **2** to proceed to the **Individual Policy View**.



The screenshot shows the 'Introducer Inquiry' page for '000000 - SMITH, JOHN'. It features a navigation menu with options like 'General', 'Search', 'Production', 'Commissions', 'Reports', and 'Electronic Platform'. A 'Welcome to our online dealing platform' message is displayed. Below this, there is a section titled 'Select a policy to begin trading' with input fields for 'Policy number', 'Policy name search', and 'Product'. A 'Continue' button is highlighted with a red circle '2'. A dropdown menu is open, showing a list of policies, with the first item 'TSPA000000-00 - John Smith - Access Portfolio 5000 Series USD' highlighted. A red circle '1' is placed over the dropdown arrow. A 'Proceed to next step' callout box is also visible, containing a 'Continue' button and a red circle '2'.

**INTRODUCER INQUIRY - 000000 - SMITH, JOHN**

General Search Production Commissions Reports Electronic Platform

Welcome to our online dealing platform

Select a policy to begin trading

Policy number: Policy name search: Product: Select a Product Apply Filter

Apply one or more of the above filter(s) then select the policy to trade on from the list below:

---Select From This List---

Proceed to next step

Check you have selected the correct policy to trade

Continue

Transactions In Progress Completed Transactions Completed FX Transactions

Date From: Date To: Status: Transaction type: Apply Filter

Policy number	Date	Deal reference	Transaction type	Linked investment	Status	
TSPA000000-00	25 Feb 2014	122	Buy	AB Global Bond - USD	Requested	View
		123	Buy	AB Emerging Markets Growth - USD	Requested	View
		124	Buy	AB Global Thematic Research - USD	Requested	View
		153	Buy	20+ Year Treasury Bond ETF - USD	Requested	View
		151	Buy	Apple Inc - USD	Requested	View

Apply one or more of the above filter(s) then select the policy to trade on from the list below:

- ✓ ---Select From This List---
- TSPA000000-00 - John Smith - Access Portfolio 5000 Series USD
- TSPA000068-00 - John Smith - Access Portfolio Plus USD
- TSPA000070-00 - John Smith - Access Portfolio Plus USD
- TSPA000079-00 - John Smith - Access Portfolio 5000 Series USD
- TSPA000080-00 - John Smith - Access Portfolio 5025 Series USD
- TSPA000081-00 - John Smith - Access Portfolio 5050 Series USD
- TSPA000082-00 - John Smith - Access Portfolio 5075 Series USD
- TSPA000083-00 - John Smith - Access Portfolio 5100 Series USD
- TSPA000085-00 - John Smith - Access Portfolio 8000 Series USD

## Individual Policy View

In order to access the Individual Policy View, click on the **Portfolio Management** <sup>1</sup> option under the **Accounts** menu of the Plan Inquiry Page (or by selecting a specific Policy under the *Introducer access*).

This page shows a snapshot of the portfolio, as well as details of all transactions.

The **Cash Account** box <sup>2</sup> indicates the balance in cash, not considering pending transactions.

The **Available Cash** box <sup>3</sup> indicates the current available cash in the portfolio. It takes into consideration the percentage required to maintain per product type, as well as all pending transactions (sell and purchase of assets, as well as FX deals).

Account Access > Plan Inquiry

**PLAN INQUIRY - TSPP002023 - SMITH, JOHN**

General - TSPP002023 ▾ Billing ▾ Accounts ▾ Clients ▾

Account - Base ▾ **Portfolio Management** <sup>1</sup>

General »  
COMPANY  
Investors Trust

PLAN NUMBER  
TSPP002023

Investors Trust | Online Account Access

English ▾

[Resource Center](#) | [Print this page](#) | [logout \(user\)](#)

Account Access > Plan Inquiry

**PLAN INQUIRY - 000000000 - SMITH, JOHN**

General -000000000 ▾ Billing ▾ Accounts ▾ Clients ▾

### Individual policy view

[Back](#)

**Policy information** ⓘ

Policy number: T000000000-00

Policy name: John Smith

Purchases in progress: 0

Sales in progress: 0

**Cash account** <sup>2</sup> ⓘ

GBP 10,000.00

USD 133,147.62

**Available cash** <sup>3</sup> ⓘ

GBP 10,000.00

USD 123,147.62

**Proceed to next step**

Please select from the following options

Individual buy or sell

Switch linked investment

FX deal

[Continue](#)

Current Linked Investments

Transactions In Progress

Completed Transactions

Completed FX Transactions

Cash Transactions

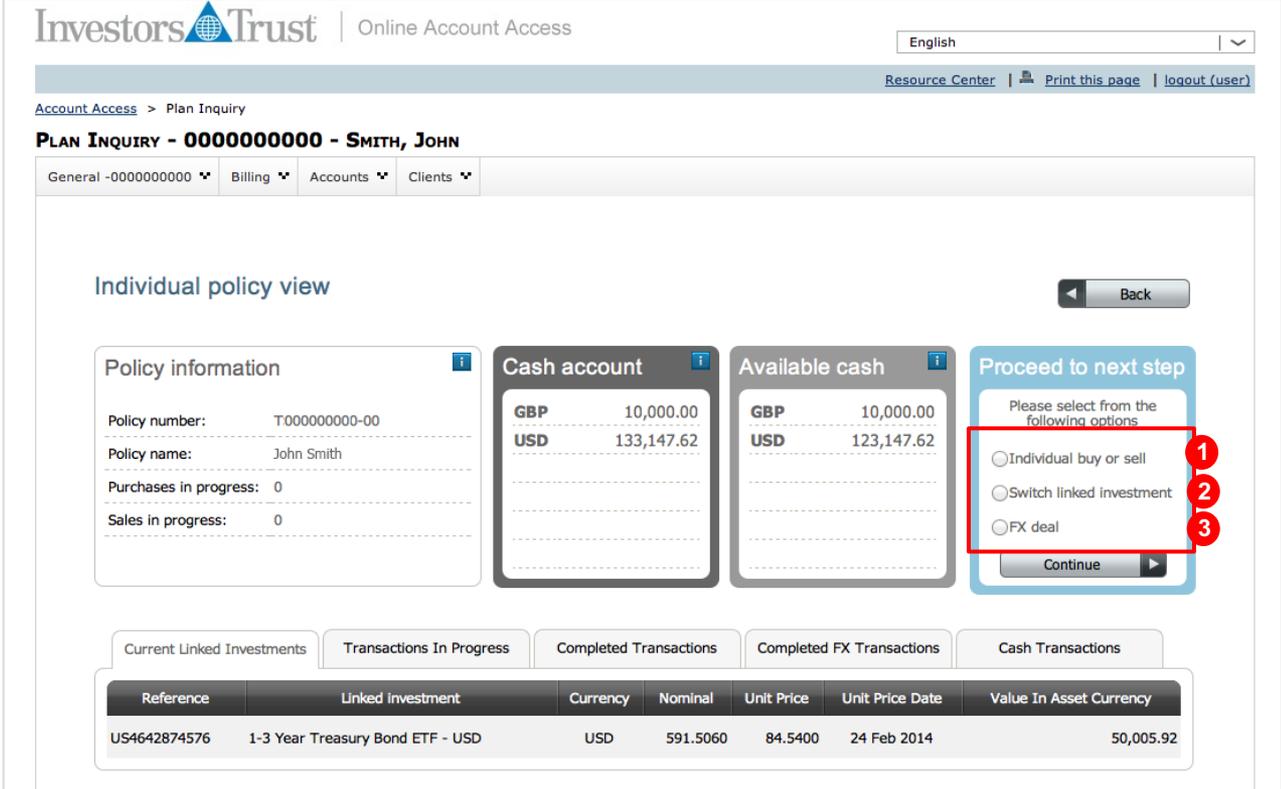
Reference	Linked Investment	Currency	Nominal	Unit Price	Unit Price Date	Value In Asset Currency
US4642874576	1-3 Year Treasury Bond ETF - USD	USD	591.5060	84.5400	24 Feb 2014	50,005.92

## Individual Policy View

This page will be displayed the same for both Plan Participants and Introducers. However, note that only Authorized Introducers, who have an Advisory Service Agreement (form IG108-1) signed with their clients, can request transactions in the trading platform on behalf of their clients.

From this screen, clients or Authorized Introducers have the option to request 3 types of transactions:

1. Individual Buy or Sell ①
2. Switch Linked Investment ②
3. FX Deal ③



Investors Trust | Online Account Access

English

Resource Center | Print this page | logout (user)

Account Access > Plan Inquiry

**PLAN INQUIRY - 000000000 - SMITH, JOHN**

General -0000000000 Billing Accounts Clients

### Individual policy view

Back

#### Policy information

Policy number: T000000000-00  
Policy name: John Smith  
Purchases in progress: 0  
Sales in progress: 0

#### Cash account

GBP	10,000.00
USD	133,147.62

#### Available cash

GBP	10,000.00
USD	123,147.62

#### Proceed to next step

Please select from the following options

- Individual buy or sell ①
- Switch linked investment ②
- FX deal ③

Continue

Current Linked Investments Transactions In Progress Completed Transactions Completed FX Transactions Cash Transactions

Reference	Linked Investment	Currency	Nominal	Unit Price	Unit Price Date	Value In Asset Currency
US4642874576	1-3 Year Treasury Bond ETF - USD	USD	591.5060	84.5400	24 Feb 2014	50,005.92

## Individual Trade:

This option allows for the individual buying and selling of an asset

### A. Buy new asset:

1. Assets can be searched by **1**:

- Firm name
- Sector
- Currency
- Ticker or ISIN
- Name

2. If an asset is not available in the platform, the option **Can't find asset?** **2** can be used to request the addition of the particular asset.

3. Once the asset to buy is selected, click **Buy** **3** to proceed.

### Individual trade

**Policy information**

Policy number: T000000000-00

Policy name: John Smith

Purchases in progress: 1

Sales in progress: 0

**Available cash**

GBP 10,000.00

USD 115,647.62

**Proceed to next step**

Please review your trades

Submit

Trade existing linked investment
Buy new linked investment

Firm name:  Sector:  **1**

Currency:  Reference:  Name contains text:

**Can't find asset?** **2**

Apply Filter

Clear Filter

Reference	Linked Investment	Currency	
US4642874576	1-3 Year Treasury Bond ETF - USD	USD	<b>Buy</b> <b>3</b>
US4642874329	20+ Year Treasury Bond ETF - USD	USD	Buy
US88579Y1010	3M Co - USD	USD	Buy
LU0232525203	AB American Income - EUR	EUR	Buy
LU0095030564	AB American Income - USD	USD	Buy
LU0689625878	AB American Income GBP Hedged - GBP	GBP	Buy
LU0232528306	AB Emerging Markets Growth - EUR	EUR	Buy
LU0040709171	AB Emerging Markets Growth - USD	USD	Buy
LU0232535426	AB Global Bond - EUR	EUR	Buy
LU0102828612	AB Global Bond - USD	USD	Buy

1 2 3 4 5 6 7 8 9 10 ... Last

## Individual Trade:

### A. Buy new asset (Cont'd):

After clicking **Buy** in the previous screen, you will be directed to a page containing all details of the asset being purchased (ISIN, Ticker, Industry, etc.)

4. Select **Buy** under the trade type **4**.
5. Indicate if the trade will be made by monetary amount or by nominal amount (units) **5**.
6. A limit price and expiration date can be set for the order **6**.
7. Once the conditions are settled, press **Continue** **7** to proceed.

### Individual trade

◀ Back

#### Policy information i

Policy number: T000000000-00

Policy name: John Smith

Purchases in progress: 0

Sales in progress: 0

#### Available cash i

**GBP** 10,000.00

**USD** 123,147.62

#### Proceed to next step

Please review your trades

▶ Submit

1-3 Year Treasury Bond ETF - USD
Cancel ✓

Asset name:	1-3 Year Treasury Bond ETF - USD		
Full Name:	iShares 1-3 Year Treasury Bond ETF		
Firm Name:	iShares/USA		
Industry Sector:	Funds		
Industry Group:	Debt Fund		
Issuing Country:	UNITED STATES		
Reference:	US4642874576	ISIN:	US4642874576
SEDOL:	[no Sedol]	Ticker:	SHY US Equity
Currency:	USD	Minimum Deal Amount:	0.00
Minimum Initial Purchase Amount:	0.00	Minimum Additional Purchase Amount:	0.00
Minimum Sale Amount:	0.00	Minimum Initial Unit Purchase:	0.00
Minimum Unit Sale:	0.00	Minimum Additional Unit Purchase:	0.00

\* You can buy this asset in monetary terms up to the available cash amount shown. If you wish to buy this asset in utilised terms we have estimated 90% of the number of units you could buy based on the latest prices we hold.

Please select a trade type

Buy

Available to buy\*

USD 123,147.62  
90% of the estimated nominal units:  
1,311.010 Units

How would you like to buy this asset?

Buy monetary amount

Amount (in USD)

Limit Price Applies:  6

Limit Price:

Expiry Date:

28
03
2014

▶ Continue 7

## Individual Trade:

### A. Buy new asset (Cont'd):

You may add more transactions, or edit or cancel **8** current transactions before submitting request **9**.

Individual trade
Back

**Policy information**

Policy number: T000000000-00

Policy name: John Smith

Purchases in progress: 2

Sales in progress: 0

**Available cash**

GBP 10,000.00

USD 100,647.62

**Proceed to next step**

Please review your trades

**Requested sales**

1-3 Year Treasury Bond ETF - USD  
 USD 7,500.00  
 Limit Price: 86.0000  
 Expiry Date: 28-Mar-2014

**Submit**

Trade existing linked investment    Buy new linked investment

Firm name:     Sector:

Currency:     Reference:     Name contains text:

Can't find asset?

Reference	Linked investment	Currency	
US4642874576	1-3 Year Treasury Bond ETF - USD	USD	<b>Edit</b>
US4642874329	20+ Year Treasury Bond ETF - USD	USD	<b>Buy</b>
US88579Y1010	3M Co - USD	USD	<b>Buy</b>
LU0232525203	AB American Income - EUR	EUR	<b>Buy</b>
LU0095030564	AB American Income - USD	USD	<b>Buy</b>
LU0689625878	AB American Income GBP Hedged - GBP	GBP	<b>Buy</b>
LU0232528306	AB Emerging Markets Growth - EUR	EUR	<b>Buy</b>
LU0040709171	AB Emerging Markets Growth - USD	USD	<b>Buy</b>
LU0232535426	AB Global Bond - EUR	EUR	<b>Buy</b>
LU0102828612	AB Global Bond - USD	USD	<b>Buy</b>

1 2 3 4 5 6 7 8 9 10 ... Last

## Individual Trade:

### B. Trade an existing asset:

This option allows you to Buy more units of an existing asset or Sell an asset.

To trade an exiting holding, an asset under the **Trade an existing linked investment** **1** tab must be selected.

To proceed, click **Trade** **2** on the selected asset. Select the trade type between Buy or Sell. If you choose to Buy, the following steps are the same as the ones explained for option A) *Buy New Asset* . If you choose to Sell:

1. Indicate if the trade will be made by monetary amount or by nominal amount (units) **3** .
2. A limit price and expiration date can be set for the order **4** .
3. Once the conditions are settled, press **Continue** **5** to proceed.

### Individual trade

Back

**Policy information**

Policy number: T000000000-00

Policy name: John Smith

Purchases in progress: 0

Sales in progress: 0

**Available cash**

GBP 10,000.00

USD 123,147.62

**Proceed to next step**

Please review your trades

Submit

Trade existing linked investment
Buy new linked investment

Reference	Linked Investment	Currency	Nominal units	Value In Asset Currency	
US4642874576	1-3 Year Treasury Bond ETF - USD	USD	591.5060	50,005.92	<span style="border: 1px solid red; padding: 2px 5px;">Trade</span>

### 1-3 Year Treasury Bond ETF - USD

Cancel

Asset name: 1-3 Year Treasury Bond ETF - USD

Full Name: iShares 1-3 Year Treasury Bond ETF

Firm Name: iShares/USA

Industry Sector: Funds

Industry Group: Debt Fund

Issuing Country: UNITED STATES

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Reference: US4642874576      ISIN: US4642874576

SEDOL: [no Sedol]      Ticker: SHY US Equity

---

Currency: USD      Minimum Deal Amount: 0.00

Minimum Initial Purchase Amount: 0.00      Minimum Additional Purchase Amount: 0.00

Minimum Sale Amount: 0.00      Minimum Initial Unit Purchase: 0.00

Minimum Unit Sale: 0.00      Minimum Additional Unit Purchase: 0.00

Please select a trade type

Sell

**Available to sell\***

591.506 Units  
90% of the current value:  
USD 45,005.33

How would you like to sell this asset?

Sell monetary amount

Amount (in USD)

7500

Limit Price Applies:  **4**

Limit Price:

86

Expiry Date:

28 03 2014

Continue **5**

\* You can sell units in this asset up to the amount shown, or alternatively you can sell up to 90% of its current value based on the latest price we hold.

## Switch Linked Investment:

This option allows to switch investments from an existing asset to another asset

1. Select an asset to sell from the existing investments and click **Trade** 1 to proceed.

### Switch linked investment

[Back](#)

#### Policy information

Policy number: T000000000-00  
Policy name: John Smith  
Purchases in progress: 0  
Sales in progress: 0

#### Available cash

GBP 10,000.00  
USD 123,147.62

#### Proceed to next step

Please review your trades

[Submit](#)

[Trade existing linked investment](#) | [Buy new linked investment](#)

Reference	Linked investment	Currency	Nominal units	Value In Asset Currency	
US4642874576	1-3 Year Treasury Bond ETF - USD	USD	591.5060	50,005.92	<a href="#">Trade</a> <span style="border: 1px solid red; border-radius: 50%; padding: 2px;">1</span>

## Switch Linked Investment (Cont'd):

2. Select sell under the trade type **2**.
3. Indicate if the sale will be made by monetary amount or by nominal amount (units) **3**.
4. A limit price and expiration date can be set for the order **4**.
5. Once the conditions are settled, press **Continue** **5** to proceed.

### Switch linked investment

◀ Back

#### Policy information i

Policy number: T000000000-00

Policy name: John Smith

Purchases in progress: 2

Sales in progress: 0

#### Available cash i

**GBP** 10,000.00

**USD** 100,647.62

#### Proceed to next step

Please review your trades

Submit ▶

1-3 Year Treasury Bond ETF - USD
Cancel ✓

Asset name:	1-3 Year Treasury Bond ETF - USD	
Full Name:	iShares 1-3 Year Treasury Bond ETF	
Firm Name:	iShares/USA	
Industry Sector:	Funds	
Industry Group:	Debt Fund	
Issuing Country:	UNITED STATES	
Reference:	US4642874576	ISIN: US4642874576
SEDOL:	[no Sedol]	Ticker: SHY US Equity
Currency:	USD	Minimum Deal Amount: 0.00
Minimum Initial Purchase Amount:	0.00	Minimum Additional Purchase Amount: 0.00
Minimum Sale Amount:	0.00	Minimum Initial Unit Purchase: 0.00
Minimum Unit Sale:	0.00	Minimum Additional Unit Purchase: 0.00

**Available to sell\***

591.506 Units  
90% of the current value:  
USD 45,005.33

Please select a trade type **2**

Sell ⌵

How would you like to sell this asset? **3**

Sell monetary amount ⌵

Amount (in USD)

7500

Limit Price Applies:  **4**

Limit Price:

86

Expiry Date:

28 ⌵ 03 ⌵ 2014 ⌵

Continue ▶ **5**

\* You can sell units in this asset up to the amount shown, or alternatively you can sell up to 90% of its current value based on the latest price we hold.

## Switch Linked Investment (Cont'd):

6. Details of the requested sale **6** will display in the top right area of the page where you can review and edit the request.
7. You have the option to buy more shares of an existing asset or buy a new asset. Once the asset to be purchased is selected click **Buy 7** to proceed.

### Switch linked investment

**Policy information**

Policy number: T000000000-00

Policy name: John Smith

Purchases in progress: 2

Sales in progress: 0

**Available cash**

GBP 10,000.00

USD 100,647.62

**Proceed to next step**

Please review your trades

**Requested sales**

1-3 Year Treasury Bond ETF - USD  
 USD 7,500.00  
 Limit Price: 86.0000  
 Expiry Date: 28-Mar-2014

**Submit**

Trade existing linked investment
Buy new linked investment

Firm name:  Sector:

Currency:  Reference:  Name contains text:

Can't find asset?

Reference	Linked investment	Currency	
US4642874576	1-3 Year Treasury Bond ETF - USD	USD	<input type="button" value="Edit"/>
US4642874329	20+ Year Treasury Bond ETF - USD	USD	<input type="button" value="Buy"/>
US88579Y1010	3M Co - USD	USD	<input type="button" value="Buy"/>
LU0232525203	AB American Income - EUR	EUR	<input type="button" value="Buy"/>
LU0095030564	AB American Income - USD	USD	<input type="button" value="Buy"/>
LU0689625878	AB American Income GBP Hedged - GBP	GBP	<input type="button" value="Buy"/>
LU0232528306	AB Emerging Markets Growth - EUR	EUR	<input type="button" value="Buy"/>
LU0040709171	AB Emerging Markets Growth - USD	USD	<input type="button" value="Buy"/>
LU0232535426	AB Global Bond - EUR	EUR	<input type="button" value="Buy"/>
LU0102828612	AB Global Bond - USD	USD	<input type="button" value="Buy"/>

1 2 3 4 5 6 7 8 9 10 ... Last

## Switch Linked Investment (Cont'd):

8. Select Buy under the trade type **8**.
9. 100% of the proceeds of the requested sale(s) should be allocated into the purchase of the selected asset(s). The amount to buy for each asset should be entered in % **9**.  
*(if only one asset will be purchased, enter 100% in the Amount field)*
10. A limit price and expiration date can be set for each order **10**.
11. Once the conditions are settled, press **Continue** **11** to proceed.

### Switch linked investment

Back

#### Policy information i

Policy number: T000000000-00

Policy name: John Smith

Purchases in progress: 2

Sales in progress: 0

#### Available cash i

**GBP** 10,000.00

**USD** 100,647.62

#### Proceed to next step

Please review your trades

#### Requested sales

1-3 Year Treasury Bond ETF - USD  
 USD 7,500.00  
 Limit Price: 86.0000  
 Expiry Date: 28-Mar-2014

Submit

20+ Year Treasury Bond ETF - USD
Cancel

Asset name:	20+ Year Treasury Bond ETF - USD		
Full Name:	iShares 20+ Year Treasury Bond ETF		
Firm Name:	iShares/USA		
Industry Sector:	Funds		
Industry Group:	Debt Fund		
Issuing Country:	UNITED STATES		
Reference:	US4642874329	ISIN:	US4642874329
SEDOL:	[no Sedol]	Ticker:	TLT US Equity
Currency:	USD	Minimum Deal Amount:	0.00
Minimum Initial Purchase Amount:	0.00	Minimum Additional Purchase Amount:	0.00
Minimum Sale Amount:	0.00	Minimum Initial Unit Purchase:	0.00
Minimum Unit Sale:	0.00	Minimum Additional Unit Purchase:	0.00

Please select a trade type

Buy 8

**Available to buy\***

Available to buy up to 100.000% of sale proceeds 9

How would you like to buy this asset?

Percentage of proceeds 10

Amount (in %)

100

Limit Price Applies:  10

Continue 11

## Switch Linked Investment (Cont'd):

12. Before submitting the transaction, you may add more requests, as well as review or edit all Requested sales and Requested buys **12**.
13. Once all details have been confirmed, click **Submit** **13** to send the trade(s).

### Switch linked investment

Back

#### Policy information i

Policy number: T000000000-00

Policy name: John Smith

Purchases in progress: 2

Sales in progress: 0

#### Available cash i

**GBP** 10,000.00

**USD** 100,647.62

#### Proceed to next step 12

Please review your trades

##### Requested sales

1-3 Year Treasury Bond ETF - USD  
USD 7,500.00  
Limit Price: 86.0000  
Expiry Date: 28-Mar-2014

Linked trades mode

##### Requested buys

20+ Year Treasury Bond ETF - USD  
100.000 %

Submit ▶ 13

Trade existing linked investment
Buy new linked investment

Firm name:

Currency:  Reference:  Name contains text:

Apply Filter

Sector:

Clear Filter

Can't find asset?

Reference	Linked Investment	Currency	
US4642874576	1-3 Year Treasury Bond ETF - USD	USD	Edit ▶
US4642874329	20+ Year Treasury Bond ETF - USD	USD	Edit ▶
US88579Y1010	3M Co - USD	USD	Buy ▶
LU0232525203	AB American Income - EUR	EUR	Buy ▶
LU0095030564	AB American Income - USD	USD	Buy ▶
LU0689625878	AB American Income GBP Hedged - GBP	GBP	Buy ▶
LU0232528306	AB Emerging Markets Growth - EUR	EUR	Buy ▶
LU0040709171	AB Emerging Markets Growth - USD	USD	Buy ▶
LU0232535426	AB Global Bond - EUR	EUR	Buy ▶
LU0102828612	AB Global Bond - USD	USD	Buy ▶

1 2 3 4 5 6 7 8 9 10 ... Last

## Foreign Exchange Transaction

This option allows to buy and sell currencies

1. Select the currency **1** to buy.
2. Then, click **Trade** **2** to proceed.

### Foreign exchange transaction

[Back](#)

#### Policy information

Policy number: T000000000-00

Policy name: John Smith

Purchases in progress: 3

Sales in progress: 1

#### Available cash

GBP 10,000.00

USD 100,647.62

#### Proceed to Next Step

Check details carefully before proceeding

[Submit](#)

Select a target currency to buy: **JPY – Japanese Yen** **Trade**

Target currency: Current Balance:

Account & amount available	Target currency exchange rate	Available cash in target currency	Amount required in target currency

## Foreign Exchange Transaction (Cont'd):

- Select the amount you wish to buy, and click **Add** 3.
- Check the details, and click **Submit** 4 to send the request.

### Foreign exchange transaction

Back

#### Policy information i

Policy number: T000000000-00

---

Policy name: John Smith

---

Purchases in progress: 3

---

Sales in progress: 1

#### Available cash i

**GBP** 10,000.00

**USD** 92,765.46

#### Proceed to Next Step

Check details carefully before proceeding

---

**JPY - Japanese Yen**

<b>Sell</b>	<b>Buy</b>
<b>USD</b> 7,882.16	<b>JPY</b> 800,000.00 <span style="float: right; color: blue;">✕</span>

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**Buy totals**

JPY 800,000.00

Submit ▶
4

Select a target currency to buy: JPY - Japanese Yen Trade  i

Target currency: JPY

Current balance: 0.00

Account & amount available	Target currency exchange rate	Available cash in target currency	Amount required in target currency
<b>GBP</b> 10,000.00	169.04572	JPY 1,690,457.18	JPY: <input style="width: 80px;" type="text" value="800,000.00"/> <span style="border: 1px solid #ccc; padding: 2px 5px;">Add +</span> <span style="color: red; font-weight: bold; border-radius: 50%; padding: 2px 5px; margin-left: 10px;">3</span>
<b>USD</b> 92,765.46	101.49505	JPY 9,415,234.95	JPY: <input style="width: 80px;" type="text"/> <span style="border: 1px solid #ccc; padding: 2px 5px;">Add +</span>

## Current Linked Investments tab

This tabs shows the details and information to all of the assets that are currently in the portfolio.

**Individual policy view** ◀ Back

**Policy information** ⓘ

Policy number: T000000000-00

Policy name: John Smith

Purchases in progress: 4

Sales in progress: 1

**Cash account** ⓘ

GBP 10,000.00

USD 133,147.62

**Available cash** ⓘ

GBP 10,000.00

USD 92,765.46

**Proceed to next step**

Please select from the following options

Individual buy or sell

Switch linked investment

FX deal

▶ Continue

Current Linked Investments
Transactions In Progress
Completed Transactions
Completed FX Transactions
Cash Transactions

Reference	Linked investment	Currency	Nominal	Unit Price	Unit Price Date	Value In Asset Currency
US4642874576	1-3 Year Treasury Bond ETF - USD	USD	591.5060	84.5400	24 Feb 2014	50,005.92

## Transactions in Progress tab

This tab shows details of all requested transactions that are still in progress in the Policy, including currency exchange transactions.

**Individual policy view** ◀ Back

**Policy information**

Policy number: T000000000-00

Policy name: John Smith

Purchases in progress: 4

Sales in progress: 1

**Cash account**

GBP 10,000.00

USD 133,147.62

**Available cash**

GBP 10,000.00

USD 92,765.46

**Proceed to next step**

Please select from the following options

Individual buy or sell

Switch linked investment

FX deal

Continue ▶

Current Linked Investments
Transactions In Progress
Completed Transactions
Completed FX Transactions
Cash Transactions

Date From: 01 01 1900 Date To: 25 02 2014 Status: Select Status Transaction type: Select Transaction Type Apply Filter ✓

Policy number	Date	Deal reference	Transaction type	Linked investment	Status	
TSPA005470-00	25 Feb 2014	153	Buy	20+ Year Treasury Bond ETF - USD	Requested	<span>View ▶</span>
TSPA005470-00	25 Feb 2014	151	Buy	Apple Inc - USD	Ordered	<span>View ▶</span>
TSPA005470-00	25 Feb 2014	152	Sell	1-3 Year Treasury Bond ETF - USD	Requested	<span>View ▶</span>
TSPA005470-00	25 Feb 2014	154	FX	Japanese Yen	Requested	<span>View ▶</span>
TSPA005470-00	25 Feb 2014	148	Buy	1-3 Year Treasury Bond ETF - USD	Requested	<span>View ▶</span>

## Completed Transactions tab

All information related to completed asset transactions will be displayed in this tab.

**Individual policy view** ← Back

**Policy information** ⓘ

Policy number: T000000000-00

Policy name: John Smith

Purchases in progress: 4

Sales in progress: 1

**Cash account** ⓘ

**GBP** 10,000.00

**USD** 133,147.62

**Available cash** ⓘ

**GBP** 10,000.00

**USD** 92,765.46

**Proceed to next step**

Please select from the following options

Individual buy or sell

Switch linked investment

FX deal

**Continue** ▶

Current Linked Investments
Transactions In Progress
Completed Transactions
Completed FX Transactions
Cash Transactions

Date From: 01 01 1900 Date To: 25 02 2014 Transaction type: Select Transaction Type Apply Filter ✓

Policy Number	Date	Deal reference	Transaction type	Linked Investment	Nominal Units	Currency	Value	
TSPA005470-00	20 Feb 2014	90	Buy	1-3 Year Treasury Bond ETF - USD	591.5060	USD	50,000.00	View ▶

## Completed FX Transactions tab

All information related to completed currency exchange transactions will be displayed in this tab.

**Individual policy view** ← Back

**Policy information**

Policy number: T000000000-00

Policy name: John Smith

Purchases in progress: 4

Sales in progress: 1

**Cash account**

GBP 10,000.00

USD 133,147.62

**Available cash**

GBP 10,000.00

USD 92,765.46

**Proceed to next step**

Please select from the following options

Individual buy or sell

Switch linked investment

FX deal

Continue →

Current Linked Investments
Transactions In Progress
Completed Transactions
Completed FX Transactions
Cash Transactions

Date From: 01 01 1900 Date To: 25 02 2014 Apply Filter ✓

Policy Number	Date	Deal reference	Buy Currency	Buy Amount	Sell Currency	Sell Amount	
TSPA005470-00	20 Feb 2014	89	GBP	10,000.00	USD	16,847.37	View →

## Cash Transactions tab

All information related to the cash flow in the portfolio, including transactions, contributions, fees and charges, will be displayed in this tab.

**Individual policy view** ← Back

**Policy information** ⓘ

Policy number: T000000000-00

Policy name: John Smith

Purchases in progress: 4

Sales in progress: 1

**Cash account** ⓘ

GBP 10,000.00

USD 133,147.62

**Available cash** ⓘ

GBP 10,000.00

USD 92,765.46

**Proceed to next step**

Please select from the following options

Individual buy or sell

Switch linked investment

FX deal

Continue ▶

Current Linked Investments
Transactions In Progress
Completed Transactions
Completed FX Transactions
Cash Transactions

Currency: USD ▾ Date From: 01 ▾ 01 ▾ 1900 ▾ Date To: 25 ▾ 02 ▾ 2014 ▾ Apply Filter ✓

Date	Trans Ref	Trans Type	Credit	Debit	Currency	
24 Feb 2014	212	Buy 591.506 units of 1-3 Year Treasury Bond ETF - USD	0.00	50,000.00	USD	<span style="float: right;">View ▶</span>
21 Feb 2014	105	Dealing Charge 1-3 Year Treasury Bond ETF - USD	0.00	30.00	USD	<span style="float: right;">View ▶</span>
20 Feb 2014	100	FX Deal: Sell USD 16,847.37 & Buy GBP 10,000.00 (Rate: 0.593564)	0.00	16,847.37	USD	<span style="float: right;">View ▶</span>
17 Feb 2014	64	Premium	100,100.00	0.00	USD	<span style="float: right;">View ▶</span>

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Thank you