

Please complete in BLOCK CAPITALS and black ink and return to us by post (not email). If you need help to complete this form, please call us on 0800 289 936 (Freephone UK only) or +44 (0) 1624 645000.

Please note: The minimum balance to be maintained in cash and/or investments is £50,000/US\$75,000/€75,000, of which at least £5,000 (or currency equivalent) must be in cash.

1. ACCOUNT LOCATION

Isle of Man Jersey London

2. ABOUT THE COMPANY ("THE ACCOUNTHOLDER")

Full name of entity

Details of any trading names

Nature of company's business

Principal place of business/operations (if different from registered office)

Geographical area of business

If the company is tax resident in the US, you will need to complete a US Form W-9. The US Form W-9 can be obtained from us on request, or a copy can be downloaded from the IRS website (www.irs.gov) under the Forms and Publications section.

Date of incorporation

Country of incorporation

Company registration number

VAT registered number

Name of regulator (if applicable)

Registered office address

Postcode

Business address (if different)

Postcode

Correspondence address (if different)

Postcode

Contact name

Title (eg Mr/Mrs/Miss/Ms/Other)

Capacity

Business telephone number

Fax number

Email address

Website

Details of any existing relationships with Nedbank Private Wealth

3. DETAILS OF CORPORATE DIRECTORS AND CORPORATE SHAREHOLDERS INCLUDING ANY NOMINEE COMPANIES (IF APPLICABLE)

Corporate Director Corporate Shareholder Nominee Company

Company name

Date of incorporation

Country of incorporation

Company registration number

Registered office address

Postcode

4. ABOUT THE PERSONS CONNECTED TO THE COMPANY

PERSON 1

Role: Beneficial owner Director Authorised signatory Company secretary
Other (please specify)

Title (eg Mr/Mrs/Miss/Ms/Other)
Forename(s)
Known as
Surname
Previous name(s)

(ie maiden name, former married name(s) or if you have changed your name by deed poll)

Gender
Occupation Nationality
(if retired, previous occupation)

Date of birth
Place of birth
Country of birth
Residential address
 Postcode

Telephone number +
Mobile number +
to be used for SMS text communication

Email address
Period at present address Years Months

If less than three years, please state previous address(es):

Postcode

Please specify your percentage shareholding/ownership (if applicable)

Tax residency (to be completed by beneficial owners only) – Tax regulations require us to collect certain information about each beneficial owner’s tax arrangements. Please provide this information below.

Country/countries of residency for tax purposes	Tax identification number (TIN) or national insurance number (or equivalent identity number)
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

If a TIN or National Insurance Number is not available, please provide your residency certificate number

Please note: we may have a legal or regulatory requirement to share this information with relevant tax authorities.
US citizenship – are you or have you ever been a US citizen? Yes No

We will require all US citizens and US tax residents to complete a Form W-9, which we can send to you or you can download it from the IRS website (www.irs.gov) under the Forms and Publications section.

Value of investments/accumulated wealth (to be completed by shareholders/beneficial owners only):

Please complete this section, which is required to meet our “know your client” obligations.

Category	Amount	Details of how accumulated
Inheritance	£ <input type="text"/>	<input type="text"/>
Cash	£ <input type="text"/>	<input type="text"/>
Investments	£ <input type="text"/>	<input type="text"/>
Property	£ <input type="text"/>	<input type="text"/>
Other	£ <input type="text"/>	<input type="text"/>

Timescale of source of wealth ie, accumulated over:
0-1 year 1-10 years 10-20 years Over lifetime

PERSON 2

Role: Beneficial owner Director Authorised signatory Company secretary
Other (please specify)

Title (eg Mr/Mrs/Miss/Ms/Other)

Forename(s)

Known as

Surname

Previous name(s)

(ie maiden name, former married name(s) or if you have changed your name by deed poll)

Gender

Occupation Nationality
(if retired, previous occupation)

Date of birth

Place of birth

Country of birth

Residential address

Postcode

Telephone number +

Mobile number +

to be used for SMS text communication

Email address

Period at present address Years Months

If less than three years, please state previous address(es):

Postcode

Please specify your percentage shareholding/ownership (if applicable)

Tax residency (to be completed by beneficial owners only) – Tax regulations require us to collect certain information about each beneficial owner’s tax arrangements. Please provide this information below.

Country/countries of residency for tax purposes Tax identification number (TIN) or national insurance number (or equivalent identity number)

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Investments	£ <input type="text"/>	<input type="text"/>
Property	£ <input type="text"/>	<input type="text"/>
Other	£ <input type="text"/>	<input type="text"/>

Timescale of source of wealth ie, accumulated over:

0-1 year 1-10 years 10-20 years Over lifetime

PERSON 3

Role: Beneficial owner Director Authorised signatory Company secretary
Other (please specify)

Title (eg Mr/Mrs/Miss/Ms/Other)

Forename(s)

Known as

Surname

Previous name(s)

(ie maiden name, former married name(s) or if you have changed your name by deed poll)

Gender

Occupation Nationality
(if retired, previous occupation)

Date of birth

Place of birth

Country of birth

Residential address

Postcode

Telephone number +

Mobile number +

to be used for SMS text communication

Email address

Period at present address Years Months

If less than three years, please state previous address(es):

Postcode

Please specify your percentage shareholding/ownership (if applicable)

Tax residency (to be completed by beneficial owners only) – Tax regulations require us to collect certain information about each beneficial owner’s tax arrangements. Please provide this information below.

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Other	£ <input type="text"/>	<input type="text"/>

Timescale of source of wealth ie, accumulated over:

0-1 year 1-10 years 10-20 years Over lifetime

PERSON 4

Role: Beneficial owner Director Authorised signatory Company secretary
Other (please specify)

Title (eg Mr/Mrs/Miss/Ms/Other)

Forename(s)

Known as

Surname

Previous name(s)

(ie maiden name, former married name(s) or if you have changed your name by deed poll)

Gender

Occupation Nationality
(if retired, previous occupation)

Date of birth

Place of birth

Country of birth

Residential address

Postcode

Telephone number +

Mobile number +

to be used for SMS text communication

Email address

Period at present address Years Months

If less than three years, please state previous address(es):

Postcode

Please specify your percentage shareholding/ownership (if applicable)

Tax residency (to be completed by beneficial owners only) – Tax regulations require us to collect certain information about each beneficial owner’s tax arrangements. Please provide this information below.

Country/countries of residency for tax purposes Tax identification number (TIN) or national insurance number (or equivalent identity number)

If a TIN or National Insurance Number is not available, please provide your residency certificate number

Please note: we may have a legal or regulatory requirement to share this information with relevant tax authorities.

US citizenship – are you or have you ever been a US citizen? Yes No

We will require all US citizens and US tax residents to complete a Form W-9, which we can send to you or you can download it from the IRS website (www.irs.gov) under the Forms and Publications section.

Value of investments/accumulated wealth (to be completed by shareholders/beneficial owners only):

Please complete this section, which is required to meet our “know your client” obligations.

Category	Amount	Details of how accumulated
Inheritance	£ <input type="text"/>	<input type="text"/>
Cash	£ <input type="text"/>	<input type="text"/>
Investments	£ <input type="text"/>	<input type="text"/>
Property	£ <input type="text"/>	<input type="text"/>
Other	£ <input type="text"/>	<input type="text"/>

Timescale of source of wealth ie, accumulated over:

0-1 year 1-10 years 10-20 years Over lifetime

Please copy this page and add to the application if there are additional persons connected to the company.

5. REASON FOR OPENING THE ACCOUNT

Please state reason for requiring an account

If opening an account outside your country of incorporation, please indicate why you require an offshore account

Please state what the account will be used for

6. SOURCE OF FUNDS

Please note: We reserve the right to request additional information relating to the initial deposit as well as subsequent transactions.

Initial deposit Currency Amount

Please provide full source of funds information regarding the initial monies that will be transferred to the new account, ie generated from what transaction or business, as well as the country it is coming from, bank name and account name.

Initial funds from

Account name

Bank name

Country

Please state the source of wealth (ie, inheritance, savings, sale of business) used for the opening of this account.

Account Activity

Other than the initial deposit, how much do you expect to pay into the account per year?

Type, estimated or anticipated number/value of transactions **over a 12 month period:**

	Into the account	Out of the account
Type	<input type="text"/>	<input type="text"/>
Number	<input type="text"/>	<input type="text"/>
Value <small>(specify currency)</small>	<input type="text"/>	<input type="text"/>

Examples of type of account activity:

- Investment dealing
- Trading activities

Which countries would you normally be transferring funds to?

Likely source of ongoing funds into the account

7. SERVICES REQUIRED

Please tick appropriate boxes to indicate the services that you may require immediately. You may at any time advise us that you wish to utilise other services.

Please note that Focus accounts will be opened in sterling, US dollar and euro. Please advise if you require additional currency accounts.

Other currency required

Currency in which your account will be reported and valued (tick one box only):

Sterling US dollar Euro Other (specify)

Unless you request otherwise, investment income and proceeds from the sale of investments will remain in the currency it is received.

Fixed Term Deposit Accounts

Currency required (tick all applicable) Sterling US dollar Euro
(Minimum deposit: £50,000/US\$75,000/€75,000)

Deposit amount

Term of deposit 1 month 3 months 6 months 1 year

Other currencies may be available by arrangement.

Do you require segregation of income received? Yes No

For added security we would recommend online banking services Yes No

We will send you the relevant forms.

A sterling cheque book is available. Please let us know if you would like one.

Focus Investment Services

Do you intend to utilise the Focus Investment Services? Yes No

Do you intend to hold US securities through your Focus account? Yes No

If yes, we will require completion of US tax form in the W series prior to the investment being made. Dealing instructions will not be accepted for US incorporated securities without the correct US tax documentation being in place (see also section 5 for details of the W forms required).

8. AUTHORISATION

Third party authorisation

If you would like to arrange authority for a third party to operate the account, please tick box to receive a mandate

If you do disclose your information to a third party, please consider the risks in doing so and the obligations as detailed within the Terms and Conditions.

Intermediary Authorisation

Do you wish us to send copy statements of your account to your intermediary? Yes No

Do you wish to have your account details made available to your intermediary via the internet?

If Yes, an online banking application form may be sent to your intermediary. Yes No

Do you wish us to divulge information to your intermediary? Yes No

If yes, please complete an 'Authority to release account information to an intermediary' form.

Do you wish us to accept investment instructions from a third party? If Yes, please complete and sign a mandate 'Focus mandate appointing a third party adviser to give investment instructions only'.

Yes No

If you do disclose your information to a third party please consider the risks in doing so and the obligations as detailed within the Terms and Conditions.

Please note that we are authorised to send information via email where your intermediary has an email mandate in place.

If you have answered Yes to any of the above, please give details of your intermediary.

Name

Address

Postcode

Telephone number

Email address

For Isle of Man and Jersey Accountholders

Do you wish for all your account data to be shared with Nedbank Private Wealth in the United Kingdom in order for them to manage your relationship locally? Yes No

Please note: If you tick Yes, all your account data will be held within the UK and will be protected under the Data Protection Act 1998, and under these regulations may be shared with UK authorities if they require it.

For clients resident/domiciled in South Africa only

Have you signed an Investment Mandate with Nedbank Private Wealth South Africa? Yes No

If No, please complete the section below.

Do you wish for your account data to be shared with Nedbank Private Wealth in South Africa in order for them to manage your relationship locally? Yes No

By ticking Yes, you acknowledge that information relating to you and your account will be held outside of the UK, Isle of Man and Jersey. This exchange of information is subject to our Terms and Conditions, specifically Condition 4.6.2., details of which are available on our website.

Authorised Signatories

Name 1

Signature Date

Name 2

Signature Date

9. YOUR INSTRUCTIONS AND REQUESTS FOR INFORMATION

Security password for your telephone calls

Please complete the Security Password Mandate which will be supplied separately once your application has been received, and send it to us in the post at your earliest convenience. We will accept your security password as specified as authorisation for enquiries, investment transaction instructions, payment instructions to nominated bank accounts and foreign exchange instructions via the telephone. We will ask you for selected characters from your security password.

Telephone instructions and requests for information will only be accepted if we can adequately identify the caller as the Accountholder.

Your payment instructions by telephone

If you wish to make any payment instructions by telephone we require the full bank account details in advance. Please complete the details below and let us know should you wish to add additional nominated bank accounts.

We are hereby authorised to honour without the need for further enquiry any telephone requests for withdrawals believe to be genuine, and given by one or more authorised signatories on the account as specified in the current mandate to operate the account, which should be payable to the bank/building society below.

Nominated Bank Account

Bank/building society	<input type="text"/>
Address	<input type="text"/>
	<input type="text"/> Postcode
Sort code number	<input type="text"/>
Beneficiary	<input type="text"/>
Account number	<input type="text"/>
Swift code	<input type="text"/>
IBAN	<input type="text"/>

A valid IBAN is a requirement on all Euro payments to countries within the EU. Failure to provide one could result in transfer delays and/or excess charges.

Correspondent bank

Correspondent SWIFT

Correspondent account

Authorised Signatories

Name 1

Signature Date

Name 2

Signature Date

10. DECLARATION

Declaration

To be made by those duly authorised by the board of directors. You wish to open an account with us in accordance with the published Terms and Conditions which you acknowledge having received and to which you agree to be bound.

You authorise us to make enquiries and take up references as we consider appropriate in connection with this application form and this authorisation is to remain effective until we receive written notification to the contrary.

You understand that we do not accept any liability whatsoever in respect of any losses which you may suffer as a result of any fraud or negligent misuse of the banking services, including telephone banking, unless such loss occurs as a result of fraud or gross negligence on the part of us or our employees or agents.

You confirm that you have examined the information on this form and to the best of your knowledge believe it to be true, correct and complete. You agree that you will notify us within 30 days if any information on this form becomes incorrect.

You confirm that you will maintain the account balance above the minimum required.

'Order Execution' acknowledgement: You acknowledge that you have received a copy of our 'Order Execution Policy' and agree to be bound by it.

Data Protection

The information requested on this form may be used by us to assist us in providing the service you are applying for, to confirm, update and enhance our records, and to assess your credit rating and establish your identity.

This information may also be used to advise you of other products and services and additional benefits which may be of interest to you. If you wish to receive newsletters and information about our products and services please tick box.

Under the terms of the data protection legislation you are entitled to a copy of your personal data held by us on payment of a fee. If you wish to cease these communications in future, you can do so by contacting us.

The terms of this Account Application form shall be governed by and construed in accordance with the laws of the Isle of Man, Jersey or UK (as applicable).

Authorised Signatory 1

Name

Capacity

Signature Date

Authorised Signatory 2

Name

Capacity

Signature Date

Authorised Signatory 3

Name

Capacity

Signature Date

Authorised Signatory 4

Name

Capacity

Signature

11. BOARD RESOLUTION

You hereby certify that at a Meeting of the Directors of
 ("the Company")

held at the day of

It was resolved:

1. THAT an account be opened with us and that we are authorised and requested to pay or honour all cheques, drafts, or other orders or receipts for money purporting to be drawn or signed on behalf of the company, and to debit the same to such account, whether such account be in credit or otherwise, provided that such cheques, drafts, orders or receipts are signed by **(insert "any two Directors" or "a Director and the Secretary" or as otherwise resolved)**

2. THAT we are authorised to treat all cheques, drafts, orders or receipts as having been duly endorsed or signed on behalf of the company and discount or otherwise deal with them provided that such endorsements purport to be signed by **(insert "any two Directors" or "a Director and the Secretary" or as otherwise resolved)**

3. THAT we are hereby authorised to honour and comply with all instructions to deliver or dispose of any securities or documents or property held by us on behalf of the company, provided such instructions are signed by **(insert "any two Directors" or "a Director and the Secretary" or as otherwise resolved)**

4. THAT **(insert "any two Directors" or "a Director and the Secretary" or as otherwise resolved)** are hereby authorised on behalf of the company:

- 4.1. to borrow money and to obtain credit for the company from us on any terms and to make and deliver notes, drafts, acceptances, instruments of guarantee, agreements and any other obligations of the company therefore in a form satisfactory to us;
- 4.2. to grant security interests in and/or pledge or assign and deliver, as security for money borrowed or credit obtained, stocks, bonds, instruments, bills receivable, accounts, mortgages, merchandise, bills-of-lading, warehouse receipts and other documents, insurance policies, certificates, and any other property now or hereafter held by or belonging to the company, with full authority to endorse, assign or guarantee any of the same in the name of the company;

- 4.3. to discount any bills receivable or any paper held by the company with full authority to endorse the same in the name of the company;
 - 4.4. to withdraw from us and give receipt for, or to authorise us to deliver to the bearer or to one or more designated persons, all or any documents and securities or other property held by it, whether held as collateral security or for safekeeping or for any other purpose;
 - 4.5. to authorise and request us to purchase or sell for account of the company stocks, bonds and other securities;
 - 4.6. to execute and deliver all security and other agreements, financing statements and other papers required by us in connection with any of the foregoing matters and affix thereto the seal of the company;
 - 4.7. to authorise the company's bankers to respond to client identification documentation provided by us by the execution and delivery to us of our standard customer identification authority and other papers required by us in connection with the company's identification; and
5. THAT a list of the names and specimen signatures of the persons at present authorised to sign under these resolutions be furnished to us in a form satisfactory to us and that we be advised in writing of all changes which may take place in the same from time to time.

You agree that your signatures to this corporate account application shall be your acknowledgement that you have received a copy of our Terms and Conditions and that the Terms and Conditions as amended from time to time shall form an agreement between you and us as if incorporated herein.

You acknowledge that we have elected to classify all clients as 'Retail clients' and you will be treated as such in respect of all business we conduct for you. This classification means that you will receive the highest level of regulatory protection available for complaints and compensation and receive information from us in a straightforward way, and determines the regulatory requirements that will apply to us when providing investment and ancillary services to you. You have the right to request a different classification, as a 'professional client' or 'eligible counterparty client' as defined by the EC Markets in Financial Instruments Directive, subject to meeting the required criteria, but this will result in you having a decreased level of regulatory protection. Any such request should be made in writing to us.

You hereby certify that the signatory(ies) whose specimen signature(s) appear in section 11 are duly authorised to sign on behalf of the company in accordance with the terms of this corporate account application:

Chairman/Director (to sign)

Secretary/Director (to sign)

Date

Any changes to the above will be notified to you immediately.

12. DOCUMENTATION REQUIRED

We are required to confirm the identity and address of all account signatories/directors and beneficial owners (where different) plus any additional authorised signatories included in the Declaration (section 10) for the account.

We therefore need the following documents which will be treated as confidential. All originals will be returned to you.

1. For at least two of the account directors, and where different, two signatories, we require a copy of their passport, or a copy of their driving licence* or a copy of any other government issued ID document bearing their photograph and signature certified by a suitable person ie, an official of a British embassy, qualified solicitor, notary public, member of the judiciary, qualified accountant, a director, officer or manager of a regulated financial services business. The certifier must be licensed/practising/member of a professional body/authorised to certify documents; and
2. An original utility bill (not a mobile telephone bill or internet bill), statement from a recognised bank, statement from a recognised bank credit card provider or rates bill, council tax bill or income tax bill, not more than six months old showing name and residential address (a certified copy is acceptable if completed as stated below).**

We reserve the right to request documents for all signatories/directors if we deem it necessary.

To certify a document:

The certifier must state on the copy documents the following:

"I certify that this is a true copy of the original document".

The documents must bear the stamp of the office of the certifying person and have printed clearly in capitals the name, position, profession and contact details of the certifier.

If you do not possess a passport, driving licence or government issued ID card, please contact us.

In addition to the above, we also require the following for limited companies

- A completed limited company mandate which includes appropriate board resolution (see section 11).
- Sight of the original certificate of incorporation, or receipt of a certified copy.
- A copy of the latest available accounts.

We cannot process your application without sight of these documents which will be returned without delay.

If any of these requirements cause difficulty, please contact us.

* Only UK, Jersey, Guernsey or Isle of Man driving licences can be accepted.

** The certifier must have seen the original document and met the individual face-to-face.

CONTINUED OVER

13. YOUR CHECKLIST

- A fully completed account application form
- A fully completed Self Certification of Entity Tax Status form
- Corporate structure chart if part of larger structure
- A copy of the business plan if the entity's principal function is e-commerce/e-gaming
- A copy of the company accounts
- A copy of licence (if applicable)
- W-9 forms to be completed for any US national/resident
- Relevant W forms for US security trading
- 'Authority to release account information to an intermediary' form (if applicable)
- 'Focus mandate appointing a third party adviser to give instructions only' form (if applicable)

Certified copies of the following documents will be required:

- Authorised signatory list
- Certificate of incorporation
- Memorandum & articles of association
- Certificate of good standing/certificate of incumbency
- Directors and shareholders register
- Declaration of trust if a nominee company forms part of the structure
- ID and address documents for directors/signatories, as appropriate
- ID and address documents for all shareholders/beneficial owners owning over 25% of the company or holding a controlling interest

Please note that additional information and/or further mandates may be required.

Please do not email your application form to us as the personal information contained within this form may be compromised by way of fraudulent interception.

Please note: we will require the original completed and signed copy of this application form and items in the checklist above to finalise account opening formalities.

When you have completed this form simply post it to:

Nedbank Private Wealth
St Mary's Court 20 Hill Street
Douglas Isle of Man
British Isles IM1 1EU

or **Nedbank Private Wealth**
31 The Esplanade
St Helier Jersey
Channel Islands JE1 1FB

or **Nedbank Private Wealth**
Millennium Bridge House
2 Lambeth Hill
London EC4V 4GG

14. MARKETING

To assist us in our market research, would you please indicate where/how you first heard of us:

- Advertising (please indicate newspaper/magazine/poster location/website)
- Internet search (eg, Google)
- Radio advert
- Opportunity/Interface newsletter
- Sponsorship
- Nedbank Private Wealth office
- Hold another account with the group
- Recommendation (please specify)
- Introducer (please give introducer's details)
- Other (please specify)

Nedbank Private Wealth is a registered trade name of Nedbank Private Wealth Limited.

Nedbank Private Wealth Limited is licensed by the Isle of Man Financial Services Authority. Registered office: St Mary's Court 20 Hill Street Douglas Isle of Man.

The Jersey branch is regulated by the Jersey Financial Services Commission.

The London branch is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. Registration No: 313189.

The UAE representative office in Dubai is licensed by the Central Bank of UAE. Licence No: 13/191/2013.

Representation in South Africa is through Nedbank Limited. Registered in South Africa with Registration No 1951/000009/06, an authorised financial services and registered credit provider (NCRCP16).