# **SAXO CAPITAL MARKETS** TRADING CHECKLIST

I hereby acknowledge and confirm my understanding and acceptance of the following items, which have been explained to me.

**Platform Trading**

- Placing new orders
- Placing related orders on open positions
- Closing open positions
- Changing/Cancelling open orders
- Account Summary
- Two-click trading
- Live Prices subscription (Stocks/CFDs/Futures)
- Disable trading

**Products**

❑ Stocks

Delayed Exchange Pricing – default on the SaxoTrader platform. Subscription to live prices on each exchange is available for a fee

All Stocks with the exception of SGX stocks will be subjected to 0.12% Custody Fee p.a. (monthly minimum fee of SGD 5)

❑ CFDs

Financing Costs – CFD financing interest is calculated on a daily basis, debited monthly

Borrowing Costs – when short-selling CFDs, CFD borrowing costs may apply, calculated on a daily basis, debited monthly

Recalls – when short-selling CFDs, there is a possibility of CFD recall due to poor market conditions

Margin Requirements – possibility of changes in margin requirements in times of volatility or illiquidity

Corporate Actions – No election is required. Refer to www.saxomarkets.com.sg/prices/cfds/corporate-actions for more details

❑ Futures

Delayed Exchange Pricing – default on the SaxoTrader platform. Subscription to live prices on each exchange is available for a fee

Expiry – No physical delivery for Commodity futures. Positions will be closed before the futures' expiry and first notice dates

❑ Forex

Ticket Fee Threshold – a USD10 charge per trade is levied if the trade amount is below the commission threshold amount

❑ Forex Options

Premium – premium payable/received when buying/selling options

Option Shorting – There exists a high risk in taking short positions in Options. Margin requirements can increase significantly when the markets move against the position.

❑ Contract/Stock Options

By default Buy-only enabled

Expiry – Saxo has the right to force-close a position in cases where the account is insufficiently funded to exercise the option

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**Account Trading Conditions**

- Margin Call Procedures – at 100% Margin Utilisation, Saxo Capital Markets reserves the right to stop out the account and close all positions. You, as the account holder, will be liable for any debit balance resulting from this closure. Any existing Stock held in custody may be closed by Saxo to settle in whole or part thereof this negative balance.
- Conversion Fees – Profit/losses, charges and fees differing from the client's denominated currency account, is converted at the mid-spread Forex spot rate + 0.5% markup
- Funding – your trading account will not be credited until funds are received, cleared and booked to your trading account (which may take up to 1 business day). Saxo Capital Markets does not accept 3rd party funding.
- Multiple Accounts – selecting the wrong account when placing trades will result in negative-equity interest and/or currency conversion charges. Always select the right currency account before making trades.
- Account Service Level – English as primary service language.
- Phone-In Trading Charges – SGD10 per phone-in with orders/trades placed.
- Netting Policy – positions will be netted First-in, First Out (FIFO). However, for opposite positions with related orders, these will not be netted and may continue to incur charges (CFDs/FX)
- Inactivity Fee – a fee of USD100 will be imposed on accounts, which have not had any trading activity (in any instrument) for 180 days.

| | |
|---|---|
| **Client name:** | |
| **Saxo ID:** | |
| **Date:** | **Signature:** |

| **For Official Use:** | |
|---|---|
| **CR/AE Name:** | |
| **CR/AE Signature:** | |

**Risk warning:** Trading risks are magnified by leverage - losses can exceed your deposits. Trade only after you have acknowledged and accepted the risks. You should carefully consider whether trading in leveraged products is appropiate for you based on your financial circumstances and seek independent financial consultation. Please also consider our Risk Warning and General Business Terms before trading with us.

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